

# Central Extensions of $S_n$ as Galois Groups of Regular Extensions of $\mathbb{Q}(T)$

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Recently Mestre [Me] has proved that the double cover  $\tilde{A}_n$  of the alternating group  $A_n$  is realizable as the Galois group of a regular extension of the rational function field  $\mathbb{Q}(T)$ , for all  $n \geq 4$ . We show here that his method yields the same result for the two double covers  $S_n^\pm$  of the symmetric group  $S_n$ , and more generally, for every finite central extension of  $S_n$ . Partial results on  $S_n$  have been obtained previously by several authors [KSS, So1, So2, SS, V].

## 1. PRELIMINARIES

In this section we summarize some of Mestre's results [Me]. Let  $n$  be an odd integer  $> 1$ , and let  $A = \mathbb{Z}[A_1, \dots, A_n]$  with  $A_1, \dots, A_n$  indeterminates,  $K$  the field of fractions of  $A$ ,  $\bar{K}$  the algebraic closure of  $K$ . Let  $P(X)$  be the generic polynomial

$$X^n + A_1 X^{n-1} + \dots + A_n \in K[X].$$

**PROPOSITION 1** [Me]. (a) *There exist polynomials  $Q(X), R(X) \in A[X]$  such that:*

- (i)  $Q, R$  are primitive polynomials in  $A[X]$
- (ii)  $Q, R$  are relatively prime in  $A[X]$
- (iii)  $Q, R$  have degree  $n - 1$
- (iv)  $PQ' - P'Q = R^2$ .

(Here  $P', Q'$  denote the derivatives of  $P, Q$  with respect to  $X$ .)  
 $Q$  is unique and  $R$  is unique up to sign and has distinct roots in  $K$ .

(b) *Let  $T$  be a new indeterminate and set*

$$F_T(X) = P(X) - TQ(X) \in A[T, X].$$

Then the discriminant of  $F_T(X)$  with respect to  $X$  is equal to  $\Delta(P)S(T)^2$ , where  $\Delta(P)$  is the discriminant of  $P$  and  $S(T) \in A[T]$  is of degree  $n-1$  and has distinct roots.

Now let  $H$  denote the product of the leading coefficient of  $S(T)$  and  $\Delta(S) \operatorname{res}(P, R)$ , where  $\operatorname{res}$  denotes the resultant. Note that since  $S$  has distinct roots, and by (iv),  $P, R$  have no common root,  $H$  is a nonzero element of  $A$ .

Let  $k$  be a field of characteristic zero. If  $\alpha_1, \dots, \alpha_n \in k$ , the polynomial

$$P_\alpha(X) = X^n + \alpha_1 X^{n-1} + \dots + \alpha_n$$

is called  $H$ -general if  $H(\alpha_1, \dots, \alpha_n) \neq 0$ , where  $H = H(A_1, \dots, A_n)$  is as above.  $P_\alpha$  is the polynomial obtained from  $P$  by specializing  $A_i = \alpha_i \in k$ . For fixed  $\alpha$ , we will drop the subscript  $\alpha$  and write  $P = P_\alpha$ . Similarly we write  $Q = Q_\alpha$ ,  $R = R_\alpha$ ,  $S = S_\alpha$  for the corresponding specializations, and  $F_T(X) = P(X) - TQ(X) \in k[T, X]$ .

**PROPOSITION 2 [Me].** *Let  $P(X) \in k[X]$  be an  $H$ -general polynomial of degree  $n$ ,  $F_T(X) = P(X) - TQ(X) \in k[T, X]$  the corresponding polynomial by Proposition 1 and specialization into  $k$ . Then the Galois group of  $F_T(X)$  over  $k(T)$  is  $A_n$  (the alternating group) if  $\Delta(P)$  is a square in  $k$ , and is  $S_n$  (the symmetric group) otherwise.*

**PROPOSITION 3 [Me].** *Let  $P$  be as in Proposition 2, and let  $B = k(T)[X]/(F_T(X))$ . Then the trace quadratic form  $\operatorname{Tr}_{B/k(T)}(x^2)$  is independent of  $T$ .*

Now suppose  $x_1, \dots, x_n$  are distinct rational numbers, chosen so that  $P(X) = \prod_i (X - x_i) = X^n + \alpha_1 X^{n-1} + \dots + \alpha_n$  is  $H$ -general. (To see that such a choice is possible, we observe that  $H$  is a nonzero polynomial in the roots  $X_i$  of  $\sum A_i X^i = \prod (X - X_i)$ , and a specialization of the  $X_i$  into  $\mathbb{Q}$  for which  $H \neq 0$  induces one of the  $A_i$  into  $\mathbb{Q}$  for which  $H \neq 0$ .) Then the Galois group of  $F_T(X)$  over  $\mathbb{Q}(T)$  is  $A_n$  since  $\Delta(P)$  is a square in  $\mathbb{Q}$ . The corresponding trace form is independent of  $T$  by Proposition 3, and for  $T=0$  the trivial form  $X_1^2 + \dots + X_n^2$  is obtained. Hence the Witt invariant vanishes, so by [Se], Mestre concludes: for every odd  $n \geq 5$ , there exists a regular Galois extension  $K$  of  $\mathbb{Q}(T)$  with group  $\tilde{A}_n$ , where  $\tilde{A}_n$  is the unique double cover of  $A_n$ .

If  $\xi$  is a root of  $F_T(X)$  in  $K$ , then  $T = P(\xi)/Q(\xi)$ , so  $\mathbb{Q}(T)(\xi) = \mathbb{Q}(\xi)$  is a rational function field, and is the fixed field of  $\tilde{A}_{n-1}$  in the above Galois extension for  $\tilde{A}_n$ . Thus there is a regular Galois extension of  $\mathbb{Q}(\xi)$  with Galois group  $\tilde{A}_{n-1}$ . Thus the result for  $n$  even is deducible from the result for  $n$  odd.

2. CENTRAL EXTENSIONS OF  $S_n$ 

Let  $S_n^+$ ,  $S_n^-$  denote the two double covers of  $S_n$  (see [So1]).

**THEOREM 1.** *In order that every central extension of  $S_n$  be realizable as the Galois group of a regular extension of  $\mathbb{Q}(T)$ , it suffices to prove it for all groups of the form*

$$S_3 \times_{C_2} C_{2^m}, \quad m \geq 1 \quad \text{and} \quad S_n^\pm \times_{C_2} C_{2^m}, \quad n \geq 4, \quad m \geq 1,$$

where  $A \times_C B$  denotes the pullback of  $A \rightarrow C \leftarrow B$ .

*Proof.* This theorem has been proved in [KSS, Theorem 6] for extensions of a number field  $k$  instead of regular extensions of  $\mathbb{Q}(T)$ . The proof is entirely group-theoretic, except for the following two properties of  $k$  that are used:

(1) If a finite group  $G$  is realized as a Galois group over  $k$  then so is every factor group of  $G$ .

(2) If  $G$  is realized as a Galois group over  $k$  then so is  $G \times A$  for any finite abelian group  $A$ .

In order to transfer the proof to  $\mathbb{Q}(T)$ , we need the following replacements for (1) and (2):

(1') If a finite group  $G$  is realizable as the Galois group of a regular extension of  $\mathbb{Q}(T)$ , then so is every factor group of  $G$ .

(2') If a finite group  $G$  is realizable as the Galois group of a regular extension of  $\mathbb{Q}(T)$ , then so is  $G \times A$ , for any finite abelian group  $A$ .

(1') is clear and (2') follows from [Ma, Zusatz 1, p. 226].

**THEOREM 2.** *Let  $n$  be a positive integer. Then every finite central extension of the symmetric group  $S_n$  is realizable as the Galois group of a regular extension of  $\mathbb{Q}(T)$ .*

*Proof.* It is known that every finite abelian group is the Galois group of a regular extension of  $\mathbb{Q}(T)$  [Ma, p. 224]. We may therefore assume  $n \geq 3$ .

*Case 1.  $n$  odd.*

Let  $m$  be fixed  $\geq 2$  (without loss of generality). Let  $V$  be an indeterminate,  $Z/\mathbb{Q}(V)$  a regular cyclic extension of degree  $2^m$ . Let  $U \in \mathbb{Q}[V]$  be such that  $\mathbb{Q}(V, \sqrt{U})/\mathbb{Q}(V)$  is the quadratic subextension of  $Z/\mathbb{Q}(V)$ . Note that since  $m \geq 2$ ,  $-1 \in \mathbb{Q}(V)$  is a norm from  $\mathbb{Q}(V, \sqrt{U})$  by a theorem of Albert, so the quaternion algebra  $(-1, U)$  splits. Let  $W$  be a new indeterminate,  $k = \mathbb{Q}(V, W)$ . Then  $k(\sqrt{U})/k$  is the quadratic subextension of  $kZ/k$ .

Form the quadratic polynomial

$$(X - W - \sqrt{U})(X - W + \sqrt{U}) = X^2 - 2WX + W^2 - U$$

and observe that since the coefficients  $-2W$  and  $W^2 - U$  are algebraically independent over  $\mathbb{Q}$ , so are the two roots of this polynomial. Now let  $X_3, \dots, X_n$  be new indeterminates and form

$$\begin{aligned} P(X) &= (X^2 - 2WX + W^2 - U)(X - X_3) \cdots (X - X_n) \\ &= X^n + B_1 X^{n-1} + \cdots + B_n, \end{aligned}$$

where  $B_i = B_i(V, W, X_3, \dots, X_n)$ . Since the roots of  $P(X)$  are algebraically independent over  $\mathbb{Q}$ , so are the coefficients  $B_1, \dots, B_n$ . Hence  $H(B_1, \dots, B_n)$  is not identically zero as a polynomial in  $V, W, X_3, \dots, X_n$ . We may therefore specialize  $X_3, \dots, X_n$  into  $k$  (into  $\mathbb{Q}$  if we wish) to obtain an  $H$ -general polynomial in  $k[X]$  which we will also denote  $P(X)$ . By Proposition 2, the Galois group of  $F_T(X) = P(X) - TQ(X)$  over  $k(T)$  is  $S_n$  since  $\Delta(P) \sim U$  is not a square in  $k$ . Let  $K$  be the splitting field of  $F_T(X)$  over  $k(T)$ . By Proposition 1,  $k(T, \sqrt{U})/k(T)$  is the quadratic subextension of  $K/k(T)$ . Hence

$$G(KZ/k(T)) \cong S_n \times_{C_2} C_{2^m}.$$

In the case  $n=3$ , we now specialize  $T, W$  into  $\mathbb{Q}(V)$  to obtain a regular extension  $L/\mathbb{Q}(V)$  with Galois group  $S_3$  and quadratic subextension  $\mathbb{Q}(V, \sqrt{U})/\mathbb{Q}(V)$ . The composite  $ZL$  has Galois group  $S_3 \times_{C_2} C_{2^m}$  over  $\mathbb{Q}(V)$ . We will see that  $ZL$  is a regular extension of  $\mathbb{Q}(V)$ . Now let  $n > 4$ . The trace form on  $M = k(T)[x]/(F_T(X))$  is equivalent to the form

$$2Y_1^2 + 2UY_2^2 + Y_3^2 + \cdots + Y_n^2.$$

The Witt invariant of this form (see [Se]) is then  $(2, 2U) = (2, U)$ . By Serre's formula [Se], the obstruction to the embedding problem for  $S_n^-$  ( $\tilde{S}_n$  in Serre's notation) is  $(2, U)(2, U) = 1$ , and the obstruction for  $S_n^+$  (the other double cover; see [So1]) is  $(2, U)(-2, U) = (-1, U) = 1$ , as noted above. The quadratic subextension of  $K/k(T)$  is  $k(T)(\sqrt{U})$ , hence  $K$  is not a  $k$ -regular extension of  $k(T)$ , but is a  $\mathbb{Q}$ -regular extension of  $\mathbb{Q}(V, W, T) = k(T)$ . We may now specialize  $T, W$  to obtain a regular extension  $L^\pm/\mathbb{Q}(V)$  with Galois group  $S_n^\pm$  (here  $\pm$  means  $+$ ,  $-$ , respectively) and with quadratic subextension  $\mathbb{Q}(V, \sqrt{U})/\mathbb{Q}(V)$ . The composite  $ZL^\pm$  has Galois group  $S_n^\pm \times_{C_2} C_{2^m}$  over  $\mathbb{Q}(V)$ .

We claim  $ZL^\pm$  is a regular extension of  $\mathbb{Q}(V)$ . Let  $L$  be the subfield of  $L^\pm$  such that  $G(L/\mathbb{Q}(V)) \cong S_n$ . It suffices to show that  $ZL/\mathbb{Q}(V)$  is regular, for otherwise,  $S_n^\pm \times_{C_2} C_{2^m}$  would be isomorphic to  $S_n \times_{C_2} C_{2^m} \times C_2$ , which is

not the case since the latter group does not have  $S_n^\pm$  as a factor group. We now show that  $ZL/\mathbb{Q}(V)$  is regular and include the case  $n=3$ . To see this we can use a group-theoretic argument to show that any proper normal subextension of  $ZL/\mathbb{Q}(V)$  must contain  $\mathbb{Q}(V, \sqrt{U})/\mathbb{Q}(V)$  which is regular. This assertion is equivalent to saying that every proper normal subgroup of  $S_n \times_{C_2} C_{2^m}$  is contained in the subgroup  $A_n \times C_{2^{m-1}}$  of index two, i.e.,  $A_n \times C_{2^{m-1}} = N_0$  is the unique maximal normal subgroup of  $G_0 = S_n \times_{C_2} C_{2^m}$ . We show this is true for all  $n \geq 3$ . Suppose  $N$  is a proper normal subgroup not contained in  $N_0$ . Then it projects onto  $S_n$  and onto  $C_{2^m}$ , so its commutator subgroup  $N'$  projects onto  $A_n$ . But  $N' \subseteq S_n \times \{1\}$  since  $C_{2^m}$  is abelian. Hence  $N \supseteq A_n \times \{1\}$ . It follows that  $N = G_0$ , contradiction. By Theorem 1, the proof for odd  $n$  is complete.

We now turn to the case  $n$  even. Returning to the preceding proof for  $n$  odd  $\geq 5$ , the subfield  $M$  of  $K$  generated by a root  $\xi$  of  $F_T(X)$  is a rational function field  $k(\xi)$  since  $T = P(\xi)/Q(\xi)$ . Moreover  $G(K/k(\xi)) \cong S_{n-1}$  and  $G(K^\pm/k(\xi)) \cong S_{n-1}^\pm$ . The quadratic subextension of  $K^\pm/k(\xi)$  is  $k(\xi)(\sqrt{U})/k(\xi)$  and the proof proceeds from here exactly as before, with  $k(T)$  replaced by  $k(\xi)$ . Specialize  $\xi, W$  into  $\mathbb{Q}(V)$  to obtain a regular extension  $L^\pm/\mathbb{Q}(V)$  with group  $S_{n-1}^\pm$  and quadratic subextension  $\mathbb{Q}(V, \sqrt{U})/\mathbb{Q}(V)$ . The composite  $ZL^\pm$  has group  $S_{n-1}^\pm \times_{C_2} C_{2^m}$  over  $\mathbb{Q}(V)$ , and is regular as above. ■

Hilbert's irreducibility theorem yields

**COROLLARY 1.** *Every finite central extension of  $S_n$  is a Galois group over every number field, for every  $n$ .*

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